

MAR 08 2005

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

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Commissioner for Patents
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CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

20985 7590 12/08/2004

FISH & RICHARDSON, PC
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Jeanne Amour-Rice (Depositor's name)
Jeanne Amour-Rice (Signature)
March 8, 2005 (Date)

03/09/2005 MGBREN2 00000061 061050 09924218

01 FC:2501 700.00 DA
02 FC:8001 30.00 DA
03 FC:1504 300.00 DA

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/924,218	08/07/2001	Tsuen-Hsi Liu	06618-816002	3423

TITLE OF INVENTION: APPARATUS AND METHOD FOR STORING AND/OR READING DATA ON AN OPTICAL DISK

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	<i>No</i>	<i>\$470</i>	\$300	<i>\$1670</i>	03/08/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
HUBER, PAUL W	2653	369-103000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Fish & Richardson P.C.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

California Institute of Technology Pasadena, CA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
☒ Publication Fee (No small entity discount permitted)
☒ Advance Order - # of Copies 10

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 06-1050 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date March 8, 2005Typed or printed name Scott C. HarrisRegistration No. 32,030

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Attorney's Docket No.: 06618-816002
Client's Ref. No.: 2280-1-D

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Number of pages including this page 4

Applicant: Tsuen-Hsi Liu et al. Art Unit : 2653
Serial No.: 09/924,218 Examiner : P. Huber
Filed: August 7, 2001

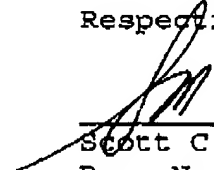
Title: APPARATUS AND METHOD FOR STORING AND/OR READING DATA ON
AN OPTICAL DISK

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Attached to this facsimile communication cover sheet is
Response to Notice of Allowance (2 pgs.), Part B Fees
Transmittal PTOL-85 (1 pg.), faxed this 8th day of March, 2005,
to the United States Patent and Trademark Office.

Respectfully submitted,

Date: March 8, 2005



Scott C. Harris
Reg. No. 32,030

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Attorney's Docket No.: 06618-816002 / 2280-1-D

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tsuen-Hsi Liu et al.

Art Unit: 2653

Serial No.: 09/924,218

Examiner: P. Huber

Filed: August 7, 2001

Confirmation No.: 3423

Notice of Allowance Date:

December 8, 2004

Title: APPARATUS AND METHOD FOR STORING AND/OR READING DATA ON
AN OPTICAL DISK

MAIL STOP ISSUE FEE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

RESPONSE TO NOTICE OF ALLOWANCE

In response to the Notice of Allowance mailed December 8,
2004, enclosed are a completed issue fee transmittal form
PTOL-85b.

Please apply the issue fee, publication fee and patent
copies charge of \$1030 and any additional charges or credits to

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I hereby certify that this correspondence is being transmitted by
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March 8, 2005

Date of Transmission

Signature

Jeanne Amour-Rice

Typed or Printed Name of Person Signing Certificate

Applicant : Tsuen-Hsi Liu et al.
Serial No. : 09/924,218
Filed : August 7, 2001
Page : 2 of 2

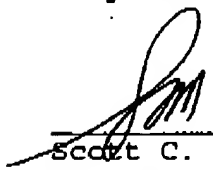
Attorney's Docket No.: 06618-816002 / 2280-1-D

our Deposit Account No. 06-1050.

Respectfully submitted,

Date:

3/8/05



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